



FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

RQ-2

October 12, 2016

GORDON A. ELLIOTT, TREASURER
FRIENDS OF ROY BLUNT
PO BOX 10178
COLUMBIA, MO 65205-4002

Response Due Date

11/16/2016

IDENTIFICATION NUMBER: C00304758

REFERENCE: JULY QUARTERLY REPORT (04/01/2016 - 06/30/2016)

Dear Treasurer:

This letter is prompted by the Commission's preliminary review of the report referenced above. This notice requests information essential to full public disclosure of your federal election campaign finances. **An adequate response must be received at the Senate Public Records Office by the response date noted above. Failure to adequately respond by the response date noted above could result in an audit or enforcement action.** Additional information is needed for the following 5 item(s):

1. Schedule A of your report discloses one or more contributions that appear to exceed the limits set forth in the Act (see attached).

An individual or a political committee other than an authorized committee or qualified multi-candidate committee may not make a contribution(s) to a candidate for federal office in excess of \$2,700 per election. An authorized committee may not make a contribution(s) to a candidate for federal office in excess of \$2,000 per election. A qualified multi-candidate committee and all affiliated committees may not make a contribution(s) to a candidate for federal office in excess of \$5,000 per election. The term "contribution" includes any gift, subscription, loan, advance, or deposit of money or anything of value made by any person for the purpose of influencing any election for federal office. (52 U.S.C. § 30116(a) and (f) (formerly 2 U.S.C. § 441a(a) and (f)); 11 CFR § 110.1(b), (e) and (k))

If any apparently excessive contribution in question was incompletely or incorrectly disclosed, you must amend your original report with the clarifying information. If any contribution you received exceeds the limits, you may have to refund the excessive amount.

Excessive contributions may be retained if, within 60 days of receipt, the

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excessive portions are properly redesignated or reattributed. Guidelines for each option are provided below.

For reattributions, excessive contributions from individuals can be retained if, within 60 days of receipt, the excessive amount is properly reattributed to another person. Please note that reattributions only apply to excessive contributions from individuals. An excessive contribution is considered properly reattributed if (1) the contributors provide the committee with written documentation, signed by each contributor, authorizing a reattribution and indicating the amount of the contribution to be attributed to each contributor; or (2) the committee reattributes by presumption the excessive portion of the contribution if the contribution was made on a written instrument from a joint account and was signed by only one of the account holders. In this case, the treasurer must notify the contributors in writing within 60 days of receiving the contribution that the committee intends to reattribute the excessive portion and must give the contributor an opportunity to request a refund. (11 CFR § 110.1(k)(3)(ii)(B))

For redesignations, the funds can be retained if, within 60 days of receipt, the excessive amount is properly redesignated for a different election. An excessive contribution is considered properly redesignated if (1) the committee obtains signed written documentation from the contributor(s) authorizing the redesignation of the contribution for another election, provided that the new designation does not exceed the limitations on contributions made with respect to that election; or (2) your committee redesignates by presumption the excessive portion of the contribution for another election provided that the new designation does not exceed the limitations on contributions made with respect to that election. In this case, the treasurer must notify the contributor of the redesignation in writing within 60 days of the treasurer's receipt of the contribution. The notification must give the contributor an opportunity to request a refund. (11 CFR § 110.1(b)(5)(ii)(B)) Please note that you cannot presumptively redesignate an excessive contribution from a multi-candidate committee. Also, a contribution can only be redesignated to a previous election to the extent that the contribution does not exceed the committee's net debts outstanding for that election. (11 CFR § 110.1(b)(3)(i))

If the foregoing conditions for reattributions or redesignations are not met within 60 days of receipt of the contribution, the excessive amount must be refunded. See 11 CFR § 103.3(b)(1).

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If you have not already done so, please inform the Commission of your corrective action immediately in writing and provide photocopies of any refund checks and/or letters reattributing or redesignating the contributions in question. Refunds are reported on Line 20(a), (b), or (c), as applicable, of the Detailed Summary Page and on a supporting Schedule B of the report covering the period in which they are made. Redesignations and reattributions are reported as memo entries on Schedule A of the report covering the period in which the authorization for the redesignation and/or reattribution is received. (11 CFR § 104.8(d)(2), (3) and (4))

Although the Commission may take further legal action concerning the acceptance of excessive contributions, your prompt action to refund, redesignate, and/or reattribute the excessive amount will be taken into consideration.

2. Schedule A of your report discloses one or more contributions that appear to exceed the limit set forth in the Act (see attached).

No political committee other than a qualified multi-candidate committee may make contributions to a candidate for federal office in excess of \$2,700 per election. The attached PACs did not meet the requirements for qualified multi-candidate status as of the date the contribution(s) was made to your committee. The term "contribution" includes any gift, subscription, loan, advance, or deposit of money or anything of value made by any person for the purpose of influencing any election for federal office. (52 U.S.C. § 30116(a) and (f) (formerly 2 U.S.C. § 441a(a) and (f)); 11 CFR § 110.1(b))

If any apparently excessive contribution in question was incompletely or incorrectly disclosed, you must amend your original report with the clarifying information.

If any contribution(s) you received exceeds the limits, you may have to refund the excessive amount. The funds can be retained if, within 60 days of receipt, the excessive amount is properly redesignated for a different election. An excessive contribution is considered properly redesignated if (1) the committee obtains signed written documentation from the contributor(s) authorizing the redesignation of the contribution for another election, provided that the new designation does not exceed the limitations on contributions made with respect to that election; or (2) the committee redesignates by presumption the excessive portion of the contribution for another election provided that the new designation does not exceed the limitations on contributions made with respect

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to that election. In this case, the treasurer must notify the contributor of the redesignation in writing within 60 days of the treasurer's receipt of the contribution. The notification must give the contributor an opportunity to request a refund. (11 CFR § 110.1(b)(5)(ii)(B)) A contribution can only be redesignated to a previous election to the extent that the contribution does not exceed net debts outstanding for that election. (11 CFR § 110.1(b)(3)(i))

If you have not already done so, please inform the Commission of your corrective action immediately in writing and provide photocopies of any refund checks and/or letters redesignating the contributions in question. Refunds are reported on Line 20(a), (b), or (c), as applicable, of the Detailed Summary Page and on a supporting Schedule B of the report covering the period in which they are made. Redesignations are reported as memo entries on Schedule A of the report covering the period in which the authorization for the redesignation is received. (11 CFR § 104.8(d)(2), (3) and (4))

Although the Commission may take further legal action concerning the acceptance of excessive contributions, your prompt action to refund or redesignate the excessive amount will be taken into consideration.

3. Schedule A of your report discloses one or more contributions that appear to be from a limited liability corporation(s) (LLC) (see attached). 11 C.F.R. §110.1(g) allows the receipt of contributions from LLCs providing the LLC is treated as a partnership for tax purposes, and has not elected to be treated as a corporation by the Internal Revenue Service (IRS). Both LLCs that claim corporate status and those that publicly traded would be treated as corporations for FECA purposes.

Please amend your report to clarify if the LLCs in question are treated as partnerships. If any apparently prohibited contribution in question was incompletely or incorrectly disclosed, you must amend your original report with clarifying information. A partnership is included in the definition of "person" under 52 U.S.C. § 30101(11) (formerly 2 U.S.C. §431(11)).

If you have received prohibited contributions, you must make a refund. (11 CFR § 103.3(b)(1)) The refund must be made within 30 days of the treasurer becoming aware of the illegality of the contribution. (11 CFR § 103.3(b)(2))

If you have not already done so, please inform the Commission of your corrective action immediately in writing and provide a photocopy of any refund checks. Refunds must be reported on a Schedule B supporting Line 20(a) of the

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report covering the period in which the refund was made. (11 CFR § 104.8(d)(4))

Although the Commission may take further legal action concerning the acceptance of prohibited contributions, your prompt action to refund the prohibited amount will be taken into consideration.

4. Schedule A of your report discloses one or more contributions from an organization(s), which is not a political committee registered with the Commission (see attached). In order for your committee to accept contributions from unregistered organizations, your committee should take steps to ensure that the contributor(s) used permissible funds to make the contribution(s) to avoid violating 52 U.S.C. §§ 30116(f) and 30118 (formerly 2 U.S.C. §§ 441a(f) and 441b) or 11 CFR §102.5(b). Under 11 CFR §102.5(b), organizations which are not political committees under the Act and choose to contribute to federal committees must either: (1) establish a separate account which contains only those funds permitted under the Act, or (2) demonstrate through a reasonable accounting method that the organization has received sufficient funds subject to the limitations and prohibitions in order to make the contribution.

If any apparently prohibited contribution in question was incompletely or incorrectly disclosed, you should amend your original report with clarifying information. In addition, please clarify whether the contribution(s) received from the referenced organization(s) is permissible.

If you have received prohibited contributions, you must make a refund. (11 CFR § 103.3(b)(1)) The refund must be made within 30 days of the treasurer becoming aware of the illegality of the contribution. (11 CFR § 103.3(b)(2))

If you have not already done so, please inform the Commission of your corrective action immediately in writing and provide a photocopy of any refund checks. Refunds must be reported on a Schedule B supporting Line 20(b) or (c), as applicable, of the report covering the period in which the refund was made. (11 CFR § 104.8(d)(4))

Although the Commission may take further legal action concerning the acceptance of prohibited contributions, your prompt action to refund the prohibited amount will be taken into consideration.

5. Please provide a Schedule A to support the amount disclosed on Line 11(a)(i) of the Detailed Summary Page. Contributions from individuals/persons

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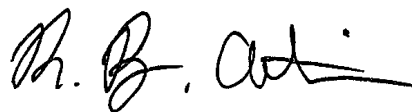
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other than political committees that aggregate in excess of \$200 per election cycle must be itemized on Schedule A. (11 CFR §104.3(a)(4)(i))

Please note, you will not receive an additional notice from the Commission on this matter. Adequate responses must be received by the Commission on or before the due date noted above to be taken into consideration in determining whether audit action will be initiated. Failure to comply with the provisions of the Act may also result in an enforcement action against the committee. Any response submitted by your committee will be placed on the public record and will be considered by the Commission prior to taking enforcement action. **Requests for extensions of time in which to respond will not be considered.**

A written response or an amendment to your original report(s) correcting the above problems should be filed with the Senate Public Records Office. Please contact the Senate Public Records Office at (202) 224-0322 for instructions on how and where to file an amendment. For additional information about the report review process or specific filing information for your committee type, please visit <http://www.fec.gov/rad/>. If you should have any questions regarding this matter or wish to verify the adequacy of your response, please contact me on our toll-free number (800) 424-9530 (at the prompt press 5 to reach the Reports Analysis Division) or my local number (202) 694-1196.

Sincerely,

A handwritten signature in black ink, appearing to read "B. B. Austin", with a stylized flourish at the end.

Bradley Austin
Campaign Finance Analyst
Reports Analysis Division

Excessive, Prohibited, and Impermissible Contributions
Friends of Roy Blunt (C00304758)

Excessive Contributions from Individuals

Contributor Name	Date	Amount	Election
Bach, Rebecca	5/7/13	\$2,600.00	G2016
Bach, Rebecca	6/28/16	\$200.00	G2016
Bach, Robert	5/7/13	\$5,200.00	G2016
Bach, Robert	5/7/13	-\$2,600.00	G2016
Bach, Robert	6/28/16	\$200.00	G2016
Bond, Christopher S Sen	6/25/14	\$1,000.00	P2016
Bond, Christopher	9/29/15	\$450.00	P2016
Bond, Christopher	12/12/15	\$450.00	P2016
Bond, Christopher	3/16/16	\$450.00	P2016
Bond, Christopher	6/30/16	\$250.00	P2016
Bond, Christopher	6/30/16	\$1,000.00	P2016
Chappell, Michael F	3/17/16	\$800.00	G2016
Chappell, Michael F	6/30/16	\$2,500.00	G2016
Esformes, Morris	5/23/16	\$3,750.00	P2016
Esformes, Morris	5/23/16	-\$1,050.00	P2016
Esformes, Morris	5/23/16	\$1,500.00	P2016
Fraser, Bo	8/6/12	\$1,000.00	P2016
Fraser, Bo	3/1/13	\$1,000.00	P2016
Fraser, Bo	3/25/14	\$1,100.00	P2016
Fraser, Bo	3/25/14	-\$500.00	P2016
Fraser, Bo	5/11/16	\$1,250.00	P2016
Fraser, Bo	5/11/16	-\$1,050.00	P2016
Hamra, Sam F Mr	6/10/14	\$1,800.00	G2016
Hamra, Sam F Mr	2/22/16	\$500.00	G2016
Hamra, Sam F Mr	6/30/16	\$500.00	G2016
Hogan, David	3/31/16	\$1,300.00	G2016
Hogan, David	6/28/16	\$2,400.00	G2016
Lucas, Forrest	4/13/15	\$5,000.00	P2016
Lucas, Forrest	4/13/15	-\$2,300.00	P2016
Lucas, Forrest	6/6/16	\$5,400.00	P2016

Excessive, Prohibited, and Impermissible Contributions
Friends of Roy Blunt (C00304758)

Lucas, Forrest	6/6/16	-\$2,700.00	P2016
Prater, Thomas G	3/29/13	\$2,600.00	P2016
Prater, Tom	5/31/16	\$3,000.00	P2016
Prater, Tom	5/31/16	-\$300.00	P2016
Prater, Thomas G	6/30/15	\$2,600.00	G2016
Prater, Tom	5/31/16	\$300.00	G2016
Schnuck, Nancy	11/18/15	\$2,700.00	P2016
Schnuck, Nancy	6/29/16	\$2,700.00	P2016
Smith, Karen K	5/7/15	\$1,300.00	P2016
Smith, Karen K	5/23/16	\$100.00	P2016
Smith, Karen K	5/23/16	-\$100.00	P2016
Smith, Karen K	5/23/16	\$1,500.00	P2016

Excessive Contributions from Affiliated Committees

Contributor Name	Date	Amount	Election
MBA Region PAC	9/8/14	\$1,000.00	P2016
American Bankers Assn. PAC	6/30/15	\$5,000.00	P2016
MBA Region PAC	9/8/15	\$750.00	P2016
MBA Region PAC	5/23/16	\$250.00	P2016

Excessive Contributions from Committees

Contributor Name	Date	Amount	Election
ExelonPAC	12/17/15	\$1,500.00	G2016
ExelonPAC	3/31/16	\$2,500.00	G2016
ExelonPAC	5/12/16	\$1,500.00	G2016
Intel Corporation PAC	9/25/13	\$150.00	P2016
Intel Corporation PAC	2/9/15	\$2,500.00	P2016
Intel Corporation PAC	6/8/16	\$3,000.00	P2016

Excessive Contributions from Committees not Qualified for Multi-Candidate Status

Contributor Name	Date	Amount	Election
ACT - The App Assc	6/30/16	\$5,000.00	P2016
Pioneer PAC	5/12/16	\$5,000.00	P2016
Stupp Bros Inc. PAC	9/30/14	\$1,000.00	P2016

Excessive, Prohibited, and Impermissible Contributions**Friends of Roy Blunt (C00304758)**

Stupp Bros Inc. PAC	12/21/15	\$2,000.00	P2016
Stupp Bros Inc. PAC	6/30/16	\$2,000.00	P2016
Vicky PAC	6/29/16	\$5,000.00	P2016

Contributions from Possible Prohibited Entities

Contributor Name	Date	Amount	Election
University Square Company LLC	6/29/16	\$2,000.00	P2016

Contributions from Unregistered Organizations

Contributor Name	Date	Amount	Election
Camden County Republic Club	4/27/16	\$500.00	P2016
Citizen for Dogan	6/29/16	\$500.00	P2016
Citizens For Kevin Corelew	6/20/16	\$100.00	P2016
Committee to Elect Jim Hansen	6/28/16	\$1,000.00	P2016
Missourians For Responsible & Better Government	6/22/16	\$1,000.00	P2016
Onder for Missouri	6/30/16	\$999.00	P2016
Pietzman for the People	6/29/16	\$500.00	P2016